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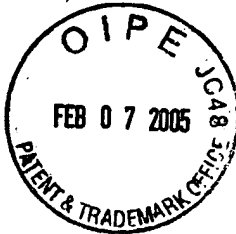
11/04/2004

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02/08/2005 FMETEK12 00000105 09537192

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Georgeen B. Sonntag	(Depositor's name)
<i>Georgeen B. Sonntag</i>	(Signature)
February 4, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/537,192	03/29/2000	Xiao-Dong Sun	GLO 2 0029	6864

TITLE OF INVENTION: FLOATING CHIP PHOTONIC DEVICE AND METHOD OF MANUFACTURE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370 \$1400	\$0	\$1370 \$1400	02/04/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
ROY, SIKHA	2879	313-512000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

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(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 FAY, SHARPE, FAGAN,
2 MINNICH & MCKEE, LLP
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

General Electric Company

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Schenectady, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies _____

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Scott A. McCollister

Date

February 4, 2005

Typed or printed name

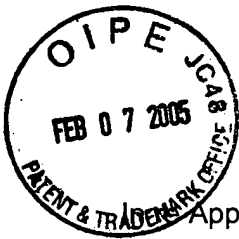
Scott A. McCollister

Registration No.

33,961

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Sun et al.
For : **FLOATING CHIP PHOTONIC DEVICE AND
METHOD OF MANUFACTURE**
Serial No. : 09/537,192
Filed : March 29, 2000
Art Unit : 2879
Examiner : Sikha Roy
Confirmation No. : 6864
Allowed : November 4, 2004
Attorney Docket No. : RD27695/GCRZ 2 00020

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P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO REASONS FOR NOTICE OF ALLOWANCE

Dear Sir:

Applicants gratefully acknowledge the allowance of the claims in the present application. However, applicant must respectfully traverse the Examiner's Statements for Reasons for Allowance.

While applicants believe that the claims are allowable, applicants do not acquiesce that the patentability resides solely in each feature identified by the Examiner, exactly as expressed in the claims, nor that each feature is required for patentability.

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

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CERTIFICATE OF MAILING

I hereby certify that this RESPONSE TO COMMENTS ON ALLOWABLE SUBJECT MATTER in connection with the above identified U.S. Patent Application is being deposited with the United States Postal Service and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450 on February 4, 2005.

Georgeen B. Sonntag